Preface

This document contains instructions for the preparation of tenure and promotion portfolios. The instructions are organized in the typical order of preparation and completion. All portfolios are submitted electronically to a Box folder. A timeline and checklist can be found at the conclusion of these instructions, along with some suggested templates for communications with external reviewers and students.

We ask each candidate and potential candidate for review to read this document carefully and to raise any question or point of clarification early in the process. The Faculty Handbook (https://facultyhandbook.richmond.edu) asks the Dean, in consultation with the Tenure and Promotion Committee, to provide “a memorandum of instructions detailing the timetable and the preparation of the portfolio. This timeline and memorandum, in keeping with the Handbook, is updated annually, so we ask that you please consider this document carefully.

In the School of Arts & Sciences, tenure and promotion cases are evaluated according to standards set out in the Faculty Handbook, Appendix VI: https://facultyhandbook.richmond.edu.

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1. Solicitation of external reviews of scholarship and creative work

Normally a case will include input from at least five external reviewers. At least two of the final five external reviewers engaged should come from a list provided by the candidate, and at least two should come from the department’s list. In accordance with the *Faculty Handbook*, every possible effort should be made to ensure that the number of external reviewers drawn from the candidate's recommended list does not exceed the number independently drawn from the department’s list. The department will not inform the candidate of the identity of any of the persons invited to serve as external reviewers.

To complete this component of the review and ensure timely recruitment of external reviewers, the candidates should provide their department chairs with the following by, at the latest the first Monday in May. At the earliest, the candidate may begin to submit names of external reviewers to the Chair during the spring semester prior to the year of review.

a. **Selection of external reviewers (from the candidate)**

   - The names and addresses (email and department) of five persons from outside the University, who are recognized as experts in the candidate’s field, and whom the department chair may contact for confidential assessments of the candidate’s scholarship/creative work.

   - A paragraph on why each expert has been recommended and a notation of any personal/professional relationship that the candidate has with each.

   - Some examples of information that the candidate should disclose include, e.g., co-authors, dissertation committee members, editors of a volume in which the candidate is published, editorial board of publication journal in which the candidate is published, a mentor in graduate school.

   - A list of any experts who should not be invited to comment on the candidate’s work and the reason for exclusion, in keeping with the *Faculty Handbook* guidelines.

b. **Selection of materials for external review**

   - The candidate makes the ultimate decision about which work should be sent for external review. However, the candidate should consult with the chair in making that selection. Note: Work substantially begun and completed at the University of Richmond will be the primary basis of the tenure and promotion review.
The identical selection of materials must be sent to all reviewers.

A current curriculum vitae should be included with those materials. The candidate may also include any organizing guidelines for the reader (not to exceed one typewritten page), as to the selection of this work.

c. Department’s selection of external reviewers
The department chair consults tenured members of the candidate's department and outside experts to identify at least five qualified external reviewers from the candidate's field.

d. Below are guidelines for choosing external evaluators. In cases where these guidelines make finding suitable reviewers difficult, exceptions can be made in consultation with the dean.

Every effort should be made to choose evaluators whose scholarly or creative work is aligned with that of the candidate and who have perspective to review the candidate’s works in the context of a larger body of work. If the candidate specifies that their work is interdisciplinary, reviewers from each discipline, or that specialize in similar intersections of scholarly work, should be sought out. Extra care should be taken to identify all connections between reviewer and candidate. Departments should make every effort to avoid reviewers with connections that could influence the review, including, for example, co-authorship, editor of book in which candidate’s work appears, or shared thesis advisor. Reviewers from academic institutions should be tenured but may be at rank of associate or full professor (with the latter preferred). In many cases, it is helpful to have at least one of the reviewers from an institution similar in size and focus to the School of Arts & Sciences at University of Richmond. It is desirable to have a diverse set of reviewers, particularly in terms of gender, and when possible, race and ethnicity.

The chair will review the candidate’s and the department’s lists of proposed external reviewers with the dean, and the dean will approve the final list. The dean will discourage the chair from engaging external reviewers who have previously evaluated the candidate’s work or whose engagement in the review may present an appearance of impropriety.

e. Chair’s solicitation of external reviews

With the dean’s consent, the department chair invites the approved external reviewers to participate. The following method is required:
In order to obtain the right balance, the department chair may engage external reviewers from the candidate’s list first and then engage more external reviewers from the department’s list. (See Appendices A-B for required templates.)

In correspondences with the external reviewer, the chair must independently ask the reviewer to address their relationship with the candidate, even in cases where the candidate has done so for the reviewers they select.

The Chair’s correspondence should direct the reviewer to disclose whether they are, e.g., co-authors, dissertation committee members, editors of a volume in which the candidate is published, editorial board of publication journal in which the candidate is published, a mentor in graduate school.

Solicitation letters must ask external reviewers to give an evaluation of the candidate’s scholarship or creative work (including strengths and weaknesses), but to refrain from making a recommendation for tenure/promotion.

All additional correspondence between the chair and the reviewers must be recorded and submitted to the T&P Committee.

By the beginning of June, letters and selected materials should be sent to all external reviewers.

All references to, correspondence with, and reports from external reviewers must be kept confidential. These reviewers should be kept anonymous to the candidate. The tenured members of the department, the Chair, the Dean and, later in the process, the T&P Committee, Provost, and President will be aware of the identity of the external reviewers.

- All reports that are received from any external reviewer must be submitted with the dossier.
- Include a curriculum vitae from each external reviewer with each report.

**Solicitation of Student Letters**

To complete this component of the review, the candidate, in keeping with the *Faculty Handbook* guidelines may submit a list of up to five students whom the candidate wants the chair to contact and up to five whom the candidate does not want the chair to contact. The candidate should not contact the suggested student reviewers about their willingness to serve in this capacity. The candidate should submit the list of students to contact/not to contact to the Chair, normally by the second Monday in May.

The department chair is responsible for soliciting letters from a minimum of 80 students randomly selected from courses that the candidate has taught, in keeping with the *Faculty
The candidate may choose not to include student representation from courses taught during the candidate’s first semester at Richmond.

Solicitations should come directly from the chair, and not from an administrative assistant or other proxy, in order to achieve a higher response rate.

- The department chair should use the Report Request Form available at the Registrar’s Office web site (https://registrar.richmond.edu/faculty-staff/report-labels.html) to obtain class lists for all classes taught by the candidate during the candidate’s probationary period, with the possible exception of courses taught by the candidate during the candidate’s first term at Richmond.

- The former students contacted by the department chair should be randomly selected and include both majors and non-majors.

- The number of students randomly selected from each course taught should be based upon the teaching unit value of the class and not class size. For example, if the candidate taught 27 teaching unit classes during the probationary period, at least three unique names should be drawn from each class (yielding a total of 81 students). This approach is required in lieu of drawing names based on class size; otherwise, large enrollment classes have a disproportional representation in the assessment of the candidate’s overall teaching record.

- Candidates who have supervised students’ research or creative work outside of regular classes may submit a list of names to the department chair. Typically, each 15 to 20 students would constitute a course with one teaching unit value.

Emails requesting student feedback are normally sent out in June. The chair should send out two reminder emails, one month apart, to ensure a high response rate. Chairs are strongly encouraged to obtain as many responses as possible.

See Appendix C for template.

**Solicitation of Service Letters**

The candidate is responsible for providing a complete list of service activities to the department chair, normally by the second Monday in May.

The department chair is responsible for the solicitation of letters from the appropriate heads of the committees or groups on which the candidate has served. See Appendix D for a template.

**Digital Portfolio Format and Procedures**

Tenure and promotion portfolios are submitted as digital “binders” on Box. The Dean’s Office supplies a digital portfolio template for tenure and promotion candidates (enclosed
below). The template features clearly labeled folders into which the candidate can deposit
digital materials. Candidates are removed from the Box folder when the completed portfolio
is submitted to the department. The Chair and other administrators are removed from the
folder when the portfolio moves to the T&P committee for consideration.

We recognize that not all creative/scholarly work will fit into the available digital template.
In such cases, you should submit your representative work as a material object (or as
appropriate).

The template is designed to a) simplify the candidate’s organizational task; and b) maximize
consistency and ease of use for T&P readers. Any changes you make to customize the
template should further these goals. If you choose to submit material copies of your scholarly
or creative work, please list them clearly at the front of the Scholarship binder.

Contents of Core Portfolio Binder

The structure of a case is centered on a single Core Portfolio Binder that is supported by
appendices of supplemental information in Supplemental Binders. The candidate cross-
references materials between the Core Portfolio Binder and the Supplemental Binders.
Therefore, the first binder of the portfolio includes the core of a tenure/promotion case. To
ensure that your Core binder is complete, please see the Checklist at the end of these
instructions. The checklist will also be located on the Box folder. Where possible, please
upload documents as .pdf files.

Candidates for tenure and/or promotion who wish to include in their statements COVID-
related or other circumstances may do so under the guidance provided by the Faculty
Handbook, which invites them to “… include in his or her portfolio all required information
and any additional information the candidate believes pertinent to the review process.” (p. 58)

Curriculum vitae.

- An up-to-date vita. Also include a two-page, condensed CV.
- Please indicate University of Richmond undergraduate (*) student co-authors
  on presentations and publications.

f. Teaching and Advising Statement. This document (3 to 5 single spaced pages) is
the candidate’s statement regarding their approach to teaching and advising (goals,
methods, self-evaluation, etc.). The candidate should include a self- reflective
analysis of their teaching and advising, with reference to the Faculty Handbook
standards, and the evidence submitted in the portfolio. The teaching statement guides
reviewers to understand the faculty member’s formation as a teacher and mentor, and
to demonstrate the faculty member’s commitment to excellence in teaching. In
applying for promotion, candidates are encouraged to present a thoughtful narrative
statement that appraises one’s teaching experiences, that can include an overview of
the candidate’s pedagogical goals, a review of successes and failures, reflections on these experiences, and thoughts of what lies ahead. The statement should make a persuasive case to the reader how the candidate’s teaching and supplementary materials are systematically designed to improve student learning. The statement should reference emblematic materials in the portfolio that would constitute as strong evidence of “excellence in teaching.”

g. **Scholarship Statement.** This document (3 to 5 single spaced pages) is the candidate’s statement regarding their professional scholarly or creative activities (objectives, approach, self-evaluation, etc.), including a statement about the significance of these activities for the advancement of the candidate’s field and a statement of plans for further scholarly or creative activities. Candidates should identify work substantially begun and completed at University of Richmond in the Scholarship Statement. For all co-authored work (except for student co-authors), candidates should clearly describe their contributions to the work. The faculty member must demonstrate ability to complete work that is judged to be of high quality by professional peers.

h. **Service Statement.** This document (1 to 2 single spaced pages) is the candidate’s statement regarding their involvement in service activities and responsibilities of the department, of the School of Arts and Sciences, and of the University. If applicable, this statement may include comments on service rendered to appropriate professional groups and organizations outside the University. The faculty member must demonstrate effectiveness in service to the department, School, or university.

i. **Chair’s Merit Reviews.** This section should include copies of each of the candidate’s merit reviews and each of the Chair’s Merit Reports. Please put these reports together by year. In a promotion case, this section should include merit reviews for the preceding three years (if available). If there is a special department rubric used in the context of merit reviews, please include a copy.

j. **Mid-course Review.** In a tenure case, please include a copy of the department’s Mid-course Review Report and the dean’s Mid-course Review Report to the candidate.

k. **Student Letters.** Once the candidate has submitted materials, the chair should add the following:

- Verification that the chair followed the prescribed process in selecting the student evaluators.

- A list of all 80(+) students asked to provide evaluations and reasons why individual students declined to write (if a reason is given).
- A representative copy of the initial email and reminders sent to former and current students soliciting evaluative comments on teaching and advising.

- The original evaluative letters (copies of emails) received from students. All student letters should be in ONE .pdf file.

1. **External Review Letters.** The candidate should include a copy of the candidate’s list of suggested reviewers (including explanation of existing relationship with each reviewer). Once the candidate has submitted materials, the chair should add the following:

   - The candidate’s recommended reviewer list (with explanations).

   - The department’s recommended reviewer list (with explanations).

   - The dean’s email approval of the lists of recommended external reviewers.

   - A list of all external reviewers solicited.

   - A copy of ALL correspondence (email) sent to each external reviewer who agreed to evaluate.

   - A list of the selected scholarship or other materials sent to the external reviewers.

   - For each external reviewer who writes an evaluation, a current curriculum vitae of the reviewer.

   - All original letters of evaluation received from the external reviewers. All reviewer letters should be in ONE .pdf file.

m. **Service Letters.** Once the candidate has submitted materials, the chair should add the following:

   - A list of service reviewers from whom evaluations were requested.

   - A copy of the email sent to service reviewers.

   - The original letters of evaluation received from the service reviewers. All reviewer letters should be in ONE .pdf file.
2. **Supplemental Teaching and Advising Binder** includes evidence of the candidate’s commitment to excellence in teaching. Ultimately, the teaching portfolio (teaching statement and accompanying materials) should be a well-crafted presentation of the candidate’s growth and trajectory as a professor. Candidates provide a critical holistic evaluation of their teaching, based on strong documentation and analysis, so that readers can gain insights into their pedagogical values and their impacts on student learning. A good selection of materials is systematic, representative, and comprehensive. This evidence will include:

- A semester-by-semester list of all courses taught including enrollments and credit/contact hours for each course. If a course was team-taught, the candidate’s role in the course should be indicated. **In cases of promotion to full professor, candidates will normally include evidence for at least the most recent ten semesters of teaching. Evidence from teaching at other institutions since tenure may be included.**

Materials demonstrating development of courses at each level of the curriculum. These materials may include and are not limited to: peer assessments, major tests/examinations, reading quizzes, essay guideline hand-outs, statements of course goals and objectives, well-developed and imaginative syllabi, scaffolded assignments that build upon course goals/skills, argument-mapping assignments designed to develop metacognition, final presentations that apply relevant course concepts, etc. It is not sufficient to provide materials or data without analysis. Candidates should attempt to show, with critical analysis and the use of illustrative evidence, how and why they are an effective professor.

- Individual Student Evaluation of Instruction (SEI) forms as well as the computer-scored summations of their quantitative results. The Office of Institutional Effectiveness will provide a complete set of SEIs to the candidate.

- All of the forms must be submitted with the summative narrative responses for each semester (although the candidate may choose not to share the results of evaluations given in the candidate’s first semester at Richmond.)

- Include relevant examples of marked and graded student work including sample graded work with formative learning comments for students (if relevant).

- A list of all advising responsibilities.

- Any special workshops, training sessions, or other evidence of efforts to improve teaching effectiveness.

3. **Supplemental Scholarship/Artist Binder** includes evidence of the candidate’s scholarly or creative program and ability to complete work of high quality:

- Copies of all scholarly, artistic, or other appropriate creative work by the candidate that has been professionally reviewed or published.
For work under peer review, including grant applications for external funding, document the current status of the work, for example whether it is accepted and scheduled for publication or exhibition, by including a letter from the editor or press or venue.

Information on the quality of journals, galleries, musical series, and other sources in which this work appeared, as defined by such measures as the journal’s general reputation in the field, its acceptance rates, whether or not submissions are blind-reviewed, etc.

The candidate may submit evidence of work in preparation (e.g., drafts, sketches, grant proposals) if such work is clearly contextualized as germane to the case.

4. **Supplemental Service Binder** includes evidence of the candidate’s effectiveness as a faculty citizen:

   - Evidence of the candidate’s service to the department, to the university, and (if appropriate) to other professionally relevant groups and organizations. This evidence may take the form of annotated lists of committees on which the candidate has served, or other contextualized descriptions of the candidate’s service contributions.

**The Department Report**

Tenured members of the candidate's department should prepare for the department's meeting on the case by reviewing the candidate's portfolio and supporting materials.

Tenured departmental faculty then meet to discuss the tenure and/or promotion case, reviewing and assessing the portfolio submitted by the candidate, the external review letters, and the candidate's performance in relation to the Faculty Handbook standards. At the department meeting, the tenured faculty vote on the candidate's tenure and promotion through a secret ballot. A summary of the vote is sent confidentially by email directly to the dean. The dean includes this summary in the portfolio. The candidate does not receive a summary of the vote.

The departmental report should be an assessment of the candidate's strengths and weaknesses, including any professional challenges the candidate has had and any efforts made to overcome them. The report should express the range of opinion in the department, the presence of any dissenting views, and the strength of any consensus, but it should not resemble in any way a transcript of the department's confidential deliberations. The report should be based on analysis of the evidence in the portfolio and should cite such evidence.

The departmental report should include a brief passage that provides guidance regarding the standards of research in the candidate’s field. The statement may refer to such considerations as, the importance of the order of the authors’ names on a publication, the status of
conference proceedings in comparison to publications, the importance of blind or double-blind peer review, or other factors that are generally applicable to the candidate’s discipline, so that the Dean and the T&P Committee, who will not be experts in the conventions of the candidate’s field, can more fairly assess the candidate’s scholarly contributions.

Before being forwarded to the Tenure and Promotion Committee and dean, the departmental report is circulated, revised as necessary, and the final version signed by all tenured members of the department. Signature only means agreement that the letter fairly represents the departmental discussion.

Normally, drafting the departmental report is the responsibility of the department chair, but this responsibility may be assigned to another tenured member of the department. In such circumstances, unless the Dean has approved an exception, the chair retains the responsibility of submitting the report to the Dean.

The departmental Career Profile may be appended to the Department Report if both the candidate and the department chair agree to include it. Because, however, Career Profiles vary widely across departments, are living documents, and do not have binding force, the profile will not be used by the Dean or the Tenure & Promotion committee to assess the candidate. As noted in the Faculty Handbook (p. 58) “Thoughtfully prepared profiles may offer a rough outline of expected progress, but should not be considered a checklist for tenure and promotion.”

**The Department Report is due by the first Monday in October.**

**Department Report on Teaching:** The Department Report should reference the standard in the *Faculty Handbook*:

“The School of Arts and Sciences seeks a faculty committed to excellence in teaching, and expects successful candidates for tenure and promotion to have demonstrated a high level of performance in teaching. Such things as measures of student achievement, student evaluations, and reviews conducted by other teachers can be used to demonstrate the quality of teaching. Excellent teaching stimulates students' interest, increases their knowledge, and requires them to engage in critical analysis. Generally, excellent teaching is the result of the instructor's mastery of the subject, clear organization and presentation, use of appropriately up-to-date materials and methodologies, respect for and fair treatment of students, thoughtful advising, and willingness to engage with them in open dialogue.”

For example, the Department Report may include observations on the following:

- An evaluation of the quality of the candidate’s syllabi, commitment to growth as a teacher, of the development of the candidate’s teaching skills during the probationary
period, and of such factors as effectiveness in communicating, counseling, and motivating students, in working with different types of students, in evaluating student progress, in developing and implementing course goals and objectives that are rigorous and challenging, and in relating course work to the overall curricular goals of the department and the University.

- A description and evaluation of instructional methods developed by the candidate.

- Evidence of student learning, for example, through analysis of student evaluations submitted for each course and analysis of confidential responses received from students through the department chair’s survey.

- An assessment of the candidate’s student advising (for both undeclared and declared students).

**Department Report on Scholarship:** The Department Report assesses the accomplishments and promise of the candidate as a scholar or creative artist in the discipline. The Department Report should reference the standard in the *Faculty Handbook*:

“Scholarship supports the university's commitment to teaching. The university recognizes that scholarly and other kinds of creative activity can take a variety of forms. It also recognizes that scholarship, to reach its potential, must be shared and tested publicly. Typically, this means that the university faculty's scholarly and creative projects are expected to produce publications, presentations, and works of the creative imagination that are open to scrutiny by professional peers. The quality of such work is more significant than the quantity, but candidates for tenure and promotion are expected to demonstrate that they are involved in ongoing scholarly and/or creative work and that they have the ability to complete work of high quality.”

The Department’s Report should be based upon a careful assessment of all the work at hand, including all work submitted for review by the candidate. The Department’s Report should comment on the evaluations provided in confidential letters solicited from external reviewers. Because this statement will be shared with the candidate, the Department Report should preserve the anonymity of external reviewers.

**Department Report on Service:** The Department Report assesses the candidate’s service activities, including membership on committees, and participation in the co-curricular life of the department and the University. The Department’s Report should reference the standard in the *Faculty Handbook*:

“The University of Richmond and its School of Arts and Science rely upon the involvement of its faculty in service to the university community, such as participating in committee work and the life of the university. Every candidate for tenure and promotion is expected to demonstrate that they have effectively served their department and the School of Arts and Sciences and/or the university.”
“A faculty member's activity in professional organizations, depending on its nature and extent, can count as significant service, but cannot substitute for service at the University of Richmond.

“Service with community organizations is also noted insofar as it involves the exercise of the faculty member's professional knowledge or abilities.”

**Department’s Summative Statement.** To receive a positive recommendation for tenure and promotion, the candidate must meet the standard in each area of evaluation: teaching, scholarship, and service. The department’s summative statement should indicate whether or not the department considers that the candidate has demonstrated this accomplishment. This statement should include:

- A description of the position the candidate was hired to fill and a summary of any shifts in the duties of the position, including how they are related to the needs and aspirations of the department, school, and University.

- A statement summarizing the candidate’s strengths and weaknesses in each area of evaluation

- Preserving confidentiality, this statement should include a summary of the various opinions expressed in the meeting

- A positive recommendation requires a majority vote. The departmental recommendation should be clearly stated with no modifying language. The Report should not indicate the vote.

The department chair should send the dean a separate email summarizing the actual vote. The summary of the vote should not be shared with the candidate. The dean will include a summary of the vote with the report when it is shared with the Tenure & Promotion Committee and the provost and the president.

The dean vets a draft of the Department Report for legal and procedural issues, in accordance with the *Faculty Handbook*. With approval by the dean, the report should be shared with the candidate and included in the portfolio.

**Important Process Issues**

**Candidates should submit a portfolio for a promotion decision only after consultation with the department chair and the Dean** (*Faculty Handbook*, 41).

Preparation of the Portfolio: A clear and persuasive case is characterized by thoughtful reference to the *Faculty Handbook* standards, careful analysis, coherent organization of evidence, and documentation. Any questions about the selection and arrangement of materials, or about any other aspect of the portfolio-preparation process, should be referred to
the department chair or dean. The Checklist below can be used to ensure that the Core Binder is complete.

Contents of Portfolio. Once the portfolio is submitted to the dean’s office, it is considered to be part of the candidate’s personnel file and may not be returned to the candidate during the remainder of the tenure-decision process. Ordinarily, portfolios have been returned at the end of the following academic year, after the summary of the vote, confidential letters, and other appropriate materials have been removed. If the candidate wishes to have any of the materials available prior to that time, copies should be made before the portfolio is submitted. Any items that cannot be copied (e.g., pictures, books, etc.) but will be needed before the end of the subsequent year should be so designated at the time of submission.

Completeness of the portfolio: The Faculty Handbook states the following:
“Once the candidate submits the portfolio, no materials may be added, other than 1) notification of the receipt of a major award, prize, or grant, or 2) status updates on a submission that was included in the original portfolio and that was already out for review when the portfolio was submitted.”

Responses to Department Report, or filing of grievances: The Faculty Handbook states the following:

“At each stage of review, the candidate will be notified of a positive or negative recommendation but the actual vote at any stage will never be included or alluded to in any report to the candidate.

The candidate will receive the departmental report, the Tenure and Promotion Committee report, and the Dean's report. In each case, the candidate will have a week to file a written response if the candidate desires. This response will be returned to the point of origin (to the body whose opinion is being addressed) for review and a re-vote. If the original recommendation stands, the point of origin body may choose to write an explanation and attach that as an addendum to the original report. If the point of origin body changes its recommendation, that body must write an explanation for the change and that explanation will be attached as an addendum to the original report. In either event, once the response has been reviewed and a new vote taken, the original report, the candidate's response, and any subsequent re-vote and/or explanation will be included in the portfolio as it moves forward.

The candidate has the right to file a grievance following the procedures defined in the Faculty Handbook, (see III.H.), should the candidate believe there has been a violation of the university's established procedures. The candidate must act in a timely manner to file a grievance and should not postpone action until the end of the process.”
In cases of a grievance, the Faculty Handbook states that the progress of the review process for a tenure and promotion case is suspended until the Grievance Committee completes its report. Please refer to the Faculty Handbook for more detail on grievance policy and procedure.

Please note the distinction between a candidate’s response and the filing of grievance. The former may include responses to factual errors or points of clarification, while the latter pertains to procedural issues.

**Timeline of Major Target Dates**

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<tr>
<td>End of March</td>
<td>Candidates for promotion to full let department and Dean’s Office know of their intention</td>
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<tr>
<td>End of April</td>
<td>Dean distributes instructions on preparation of portfolios and holds meeting with candidates and Chairs.</td>
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<tr>
<td>By early May</td>
<td>Candidate submits list of external reviewers and submits it to the Chair. Department compiles its own list of external reviewers. The final list should consist of at least two reviewers from the candidate’s list. The number of reviewers engaged from the department’s list should equal or exceed the number from the candidates. The department chair sends the reviewer lists to the Dean for consent before soliciting reviewers.</td>
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<tr>
<td>By mid- May</td>
<td>Candidate provides Chair with list of students to (not) contact, list of service contributions, and list of selected scholarly or creative products for external review.</td>
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<td>By beginning of June</td>
<td>Chair solicits evaluative letters from external reviewers, students/alums, and service committee chairs</td>
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<td>Early July</td>
<td>Reminder should be sent to students/alums who have not responded to the chair’s request to evaluate the candidate’s teaching.</td>
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<tr>
<td>Early August</td>
<td>Second reminder should be sent to students/alums who have not responded to the chair’s request to evaluate the candidate’s teaching.</td>
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<tr>
<td>First Monday Sept</td>
<td>Candidate submits well organized Core and Supplemental Binders to chair via Box by 5pm.</td>
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<td>Second Week in Sept</td>
<td>Chair convenes tenured members to review portfolio and vote on recommendation regarding tenure/promotion.</td>
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<tr>
<td>Third Monday in Sept</td>
<td>Chair submits draft of Department Report to Dean (by email) for vetting of legal or procedural issues. Chair submits vote to Dean in separate email by 5pm.</td>
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<tr>
<td>First Monday Oct</td>
<td>Chair submits portfolio with Department Report via Box to Dean’s office. The Deans consults the checklist to ensure portfolio is complete and in order.</td>
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<tr>
<td>October, November</td>
<td>Tenure &amp; Promotion Committee meets to discuss each portfolio and to vote on the recommendation. After participating in Tenure &amp; Promotion meetings, Dean makes independent recommendation.</td>
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<td>Mid-December</td>
<td>Tenure and Promotion Committee and Dean share each of their recommendations with the candidate, the Chair, and the provost. The Dean’s office shall be responsible for delivering the written correspondence of the Tenure and Promotion Committee to the candidates. The Provost will subsequently share the recommendation at that stage with the candidate, the Dean, and the President, usually in late-December to early-January.</td>
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<tr>
<td>Mid-February</td>
<td>Positive recommendations are forwarded to the Board of Trustees.</td>
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<td>By late February</td>
<td>Provost shares Trustees’ decision with candidate, chair, and Dean.</td>
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**A Note on Internal Proximity and Recusals**

Internal proximity and recusals will follow from *Faculty Handbook* guidelines and university policy. For example, a family member cannot serve in the review of another family member, in accordance with university policy. This policy applies to each participant in the review process, including the candidate, Chair, Dean, Provost, and anyone else involved in the process.

If the Chair needs to be recused because of proximity, the departmental members and Dean will determine an appropriate substitute. Any faculty member from outside of a department serving as Chair will preferably be a Full Professor with experience serving as a department chair, program coordinator, and/or on the T&P committee. The decision as to whether the outside faculty member is a voting member of the committee will be at the Dean’s discretion, in consultation with the department and T&P Chair.

If the Dean needs to be recused because of proximity, the Chair and Provost determine the appropriate substitute, such as the Dean of another School.

Each participant in the process can only vote once. That is, if a member of T&P has already voted in the departmental process, that member is recused from the T&P Committee process for that candidate, and so on. Recusals based on proximity are a matter to be discussed between the person recused, the Chair of T&P, and the Dean. When necessary (such as in the case of a Dean’s recusal), the Provost will confer with all parties involved.
BOX Organization for Tenure and Promotion Cases

University of Richmond – Specific School

FOLDER – T&P Materials 2022-23 (AS)
[Co-Owners: Asst. to Dean/Assoc. Dean for AA/Chair of T&P Committee; Viewer: Dean/T&P Committee/President/Provost]

- Sub-Folder – Name of Candidate: Tenure Portfolio
  Deadline: September 5, 2022

- [Co-Owners: Asst. to Dean/Chair of T&P Committee]

- Folder – Name of Candidate – Candidate Materials [Viewer/Uploader: Candidate]
  - Folder – 01A Core Portfolio
    - 00 Table of contents
    - A Curriculum vitae
      - Full CV
      - 2 page CV
    - B Teaching statement
    - C Scholarship statement
    - D Service statement
    - E Annual reviews
    - F Mid-tenure review

- Folder – 02 Scholarship Portfolio
  - 00 Table of contents
  - 01 Scholarship statement
  - 02a-02x Scholarship (work that is published, in press, under review and presented at professional conferences)

- Folder – 03 Teaching Portfolio
  - 00 Table of contents
  - 01 Teaching statement
  - 02 Teaching load, class size, grade distribution information
  - 03a-03x Course materials (syllabi, sample assignments, etc…)
  - 04a-04x Course evaluations
  - 05a-05x Classroom visit reports
- 06a-06x Additional evidence of teaching effectiveness

- Folder – 04 Service Portfolio
  - 00 Table of contents
  - 01 Service statement
  - 02 List of service activities
  - 03a-03x Additional evidence of service effectiveness

- Folder – Name of Candidate – 01B Core Portfolio [NO Candidate access]
  - G Student letters
  - H External review letters
  - I Service letters
  - J Committee’s letter of recommendation

- Sub-Folder – Provost and President Folder
  [Co-Owner: Asst. to Dean/Viewer: Dean, Provost, President]
  - Organization of Electronic Portfolio
  - Candidate Name
    - 01 Dean’s recommendation letter
    - 02 Tenure and promotion committee recommendation letter
    - 03 Department recommendation letter (when applicable)
    - 04 Curriculum vitae
      - Full CV
      - 2 page CV
    - 05 Teaching statement
    - 06 Scholarship statement
    - 07 Service statement
    - 08 External review letters

Deadline: December 9, 2022
Appendix A: Sample e-mail to potential outside reviewers

Note: This recommended template must be modified at the specified, bracketed points.

Dear [Professor X]:

This fall, we will be considering [Dr. X] for [tenure and promotion to associate professor/promotion to full professor]. I am writing to ask if you would be willing to be among the outside evaluators assessing [the candidate’s] scholarship. We would want your report by late August.

If you agree, I will promptly send you an official invitation and the material to be evaluated, so that you can work on this at your convenience over the summer. We would greatly appreciate your service.

[Dr. X] has written:

[Include representative works here]

[The candidate] is now working on a study of (include brief description here).

Respectfully,
Appendix B: Template letter to outside reviewers

Note: This template is standard across cases, and can only be modified at the specified, bracketed points.

[Date]

[Address]

Dear [Dr. X]:

Thank you for agreeing to evaluate Dr. [X]’s scholarship as part of our consideration of our colleague’s candidacy for tenure and promotion to associate professor/promotion to full professor.

Enclosed are:

- [Dr. X]’s curriculum vitae
- Listing of selected materials
- [Dr. X’s] statement on organizing guidelines, [if applicable] including information that the candidate believes to be pertinent to the review process.

In your letter, please state your relationship with Professor [X], specifically disclosing whether or not you have any personal or professional relationship with the candidate, such as co-authoring a work, serving on the dissertation committee, serving as an editor of a volume with the candidate or on the editorial board of a publication journal in which the candidate has published.

We value the expertise of distinguished scholars and artists like you to help us evaluate the quality of a candidate’s research or creative program. In your letter, please provide an assessment of the quality of the candidate’s contributions (strengths and limitations) to the field. Where appropriate, we appreciate specific commentary on specific works. Please do not explicitly state whether or not, in your judgment, Professor [X] would be tenured or promoted at your institution.

As a matter of context, the University of Richmond is a highly selective liberal arts University. Faculty in the School of Arts and Sciences engage in scholarship while teaching undergraduates within an academically challenging, intellectually vibrant, and collaborative community dedicated to the holistic development of students.

Your letter will be kept in strict confidence and will be used only by the faculty and administrators charged to make a recommendation regarding Dr. [X]’s case.

Because our department deliberations will occur quite early in the fall semester, we need your evaluation by September 1.

Thank you again for agreeing to do this most important work. We would appreciate it if you would send with your evaluation a copy of your curriculum vitae. If you have any further questions, don’t hesitate to contact me at 804-[289-xxxx] or [yourname@richmond.edu.]
Sincerely,

[Your Name]
Chair, [X Department]

Appendix C: Exemplar letter to former and current students

Note: This template is standard across cases, and can only be modified at the specified, bracketed points.

[Date]

Dear [Student]:

In the next few months, Dr. [X] of the [X] Department will be considered for [tenure and promotion to associate professor/promotion to full professor]. This will be an important decision for [Dr. X], for the University, and for its students.

In arriving at a recommendation regarding [the candidate’s tenure/promotion], the Department will evaluate [Dr. X]’s teaching, scholarship, and service. I am writing to ask if you could help us assess the candidate’s teaching. Looking back on your experience with the candidate, it will helpful for us if you address some of the ways in which you think [Dr. X] fulfilled the criteria for teaching excellence. As you ponder the short and long impact of [Dr. X] in your education, please consider the following standard: “Excellent teaching stimulates students’ interest, increases their knowledge, and requires them to engage in critical analysis. Generally, excellent teaching is the result of the instructor's mastery of the subject, clear organization and presentation, use of appropriately up-to-date materials and methodologies, respect for and fair treatment of students, thoughtful advising, and willingness to engage with them in open dialogue.”

If [Dr. X] directed any of your research, or served as your academic advisor, we very much want to hear your comments about that too.

Please include the following information in your email:

- courses you took with [Dr. X]
- other academic or mentoring experiences with [Dr. X]

Most important, we want to hear from you. Please send your evaluation to me no later than September 1. We will not share your letter with [Dr. X]. It will only be used by those faculty and administrators charged to make a recommendation about [Dr. X]’s [tenure/promotion].

Thanks for your help with this very important decision!

Sincerely,
[name]
Chair, [X Department]
[yourname@richmond.edu]

TEACHING EVALUATION
[JANE DOE]

Your name
Signature
Course(s) you took with [Dr. X], term, and your level at the time
(Fr, So, Jr, Sr, Grad)

Are or were you a [X] major (Y or N)?
When did, or do you expect, to graduate?

++++++++++++++++++++++++++++++++++

Please provide your evaluation below. Continue on the backside.
Appendix D: Template letter to service reviewers

Note: This template is standard across cases, and can only be modified at the specified, bracketed points.

Dear [Dr. X]:

This fall, we will be considering [Dr. X] for [tenure and promotion to associate professor/promotion to full professor], I am writing to ask if you would be willing to provide your assessment of the candidate’s work on the following committees:

- [List committees here]

Your letter will be kept in confidence and will be used only by the faculty and administrators charged to make a recommendation regarding [Dr. X]’s tenure/promotion.

Because our department deliberations will occur quite early in the fall semester, we need your evaluation by late August/early September.

Thank you again for agreeing to do this most important work. If you have any further questions, don’t hesitate to contact me at [ext. xxxx] or [yourname@richmond.edu.]

Sincerely,

[Your Name]
Chair, [X Department]